

Chapter 4 (FATCA) Withholding Statement - Part A

For use by Non-qualified Intermediaries and Non-withholding Foreign Flow-through Entities

This withholding statement is an integral part of the Form W-8IMY and the information is provided under the same condition of under penalties for perjury

Account(s) Number(s):	
Effective Date:	
Information About the Intermediary or Flow-through Entity:	
Full Name:	
GIIN:	
Chapter 3 Status:	
Chapter 4 Status:	
Type of Income:	U.S. source Bank Deposit Interest

Instructions: You must provide information and allocations in Part A (Chapter 4 Withholding Rate Pools) and/or Part B (Payee Specific Allocations) as applicable to your situation.

Part A: Chapter 4 Withholding Rate Pools			
Applicable FATCA Withholding Rate	Name of Rate Pool	Form 1042-S Reporting Code	Amount or Percentage Allocation
30%	Recalcitrant Pool - No U.S. Indicia	42	
30%	Recalcitrant Pool - U.S. Indicia	43	
30%	Recalcitrant Pool - Dormant Account	44	
30%	Recalcitrant Pool - U.S. Persons	45	
30%	Recalcitrant Pool - Passive NFFEs	46	
30%	Non-participating FFI Pool	47	
0%	US Payees Pool	48	
0%	Exempt Foreign Payees Pool (See Note 2)	N/A	
0%	FFI applies escrow procedure to dormant recalcitrant accounts	N/A	
0%	FFI election to backup withhold	N/A	

Total Pool Allocations

Note 1: CAUTION: You may only use chapter 4 withholding rate pools (other than a pool of Non-participating FFIs) if you are a Participating FFI (including a Reporting Model 2 FFI) or a Registered Deemed-Compliant FFI (including a Reporting Model 1 FFI). Otherwise, you must provide payee specific information in Part B.

Note 2: If you use an Exempt Foreign Payees pool, you must also provide payee specific information in Part B.

Note 3: For underlying foreign intermediaries or flow-through entities, payee specific information must be provided in Part B.

Note 4: The total of all allocations in Parts A (other than Exempt Foreign Payees) and B must equal 100%.

If the total is less than 100%, FATCA withholding will be applied to the difference.

If the total exceeds 100%, the withholding statement will be considered invalid as a whole and FATCA withholding will be applied to 100% of the payment.

